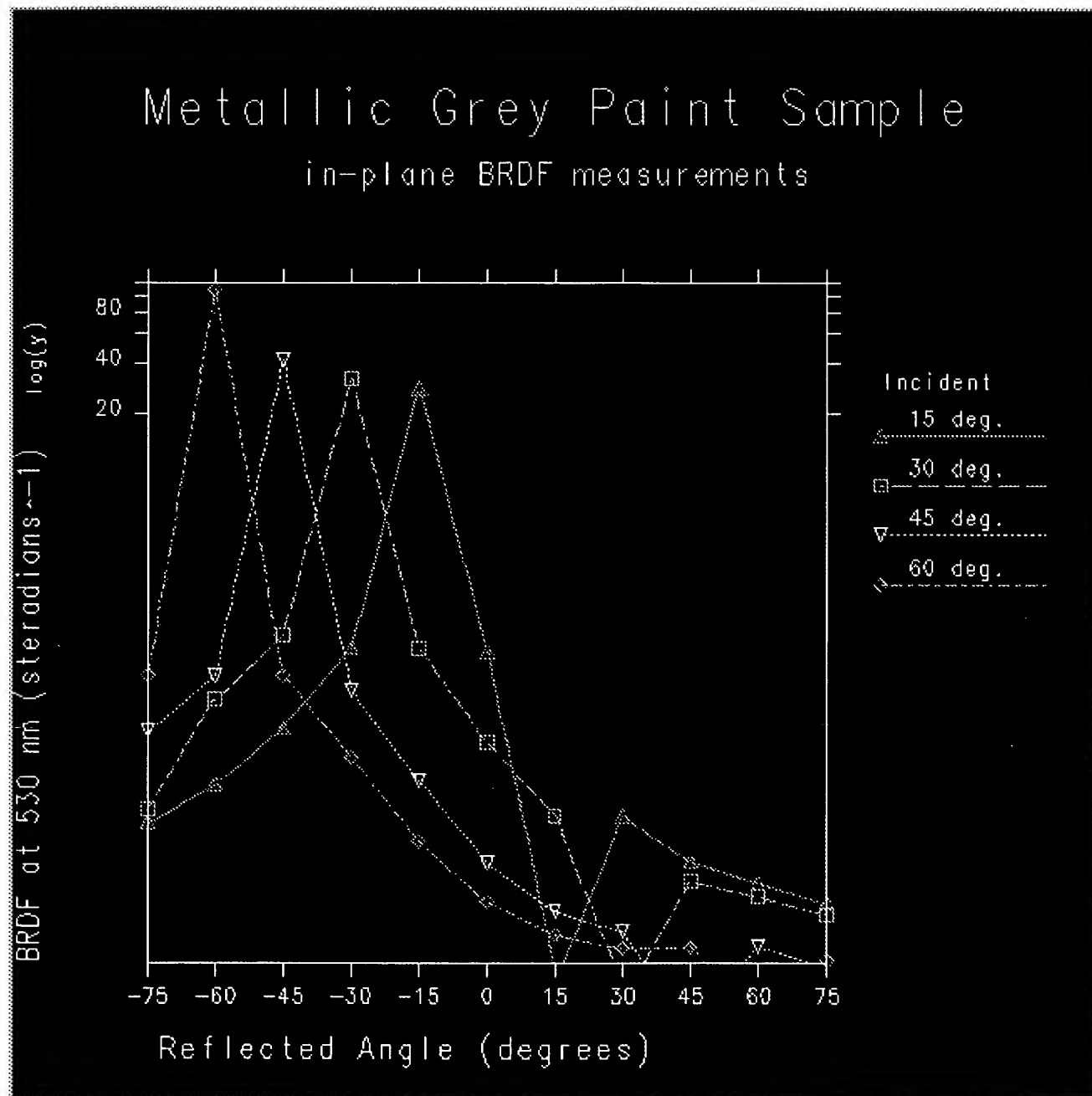


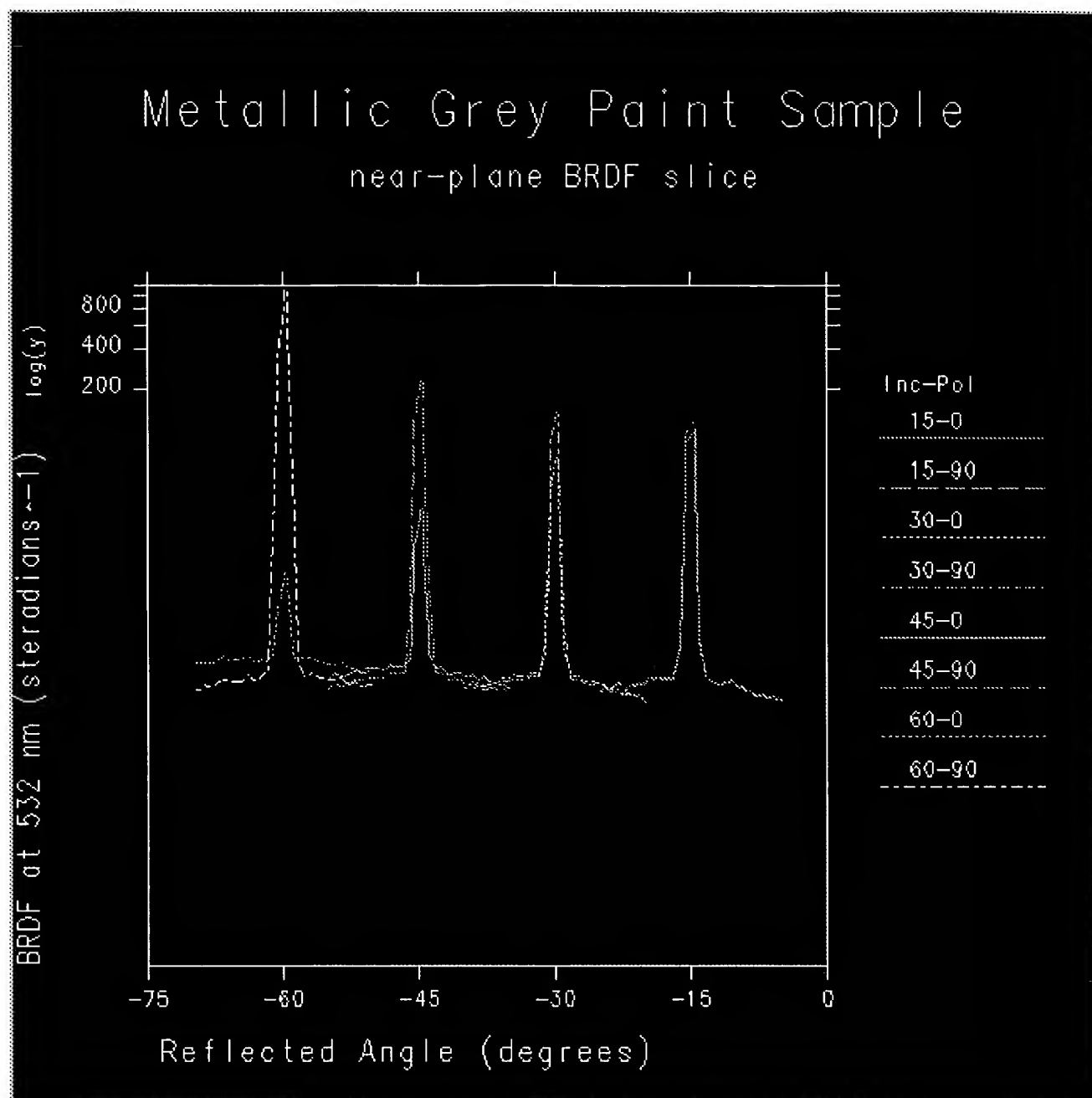
# NIST BRDF Measurements Rendered using Radiance

Under the direction of Dr. Fern Hunt, P. Yvonne Barnes and Dr. Maria Nadal of the National Institute of Standards and Technology (NIST) measured a sample of metallic grey paint with clearcoat, provided by a U.S. paint manufacturer. An initial set of full-spectral, in-plane measurements was taken at 15 degree intervals of incident and reflected angles over the visible spectrum at 10 nm increments using the STARR device. A log plot of points measured at a wavelength of 530 nm is shown below.

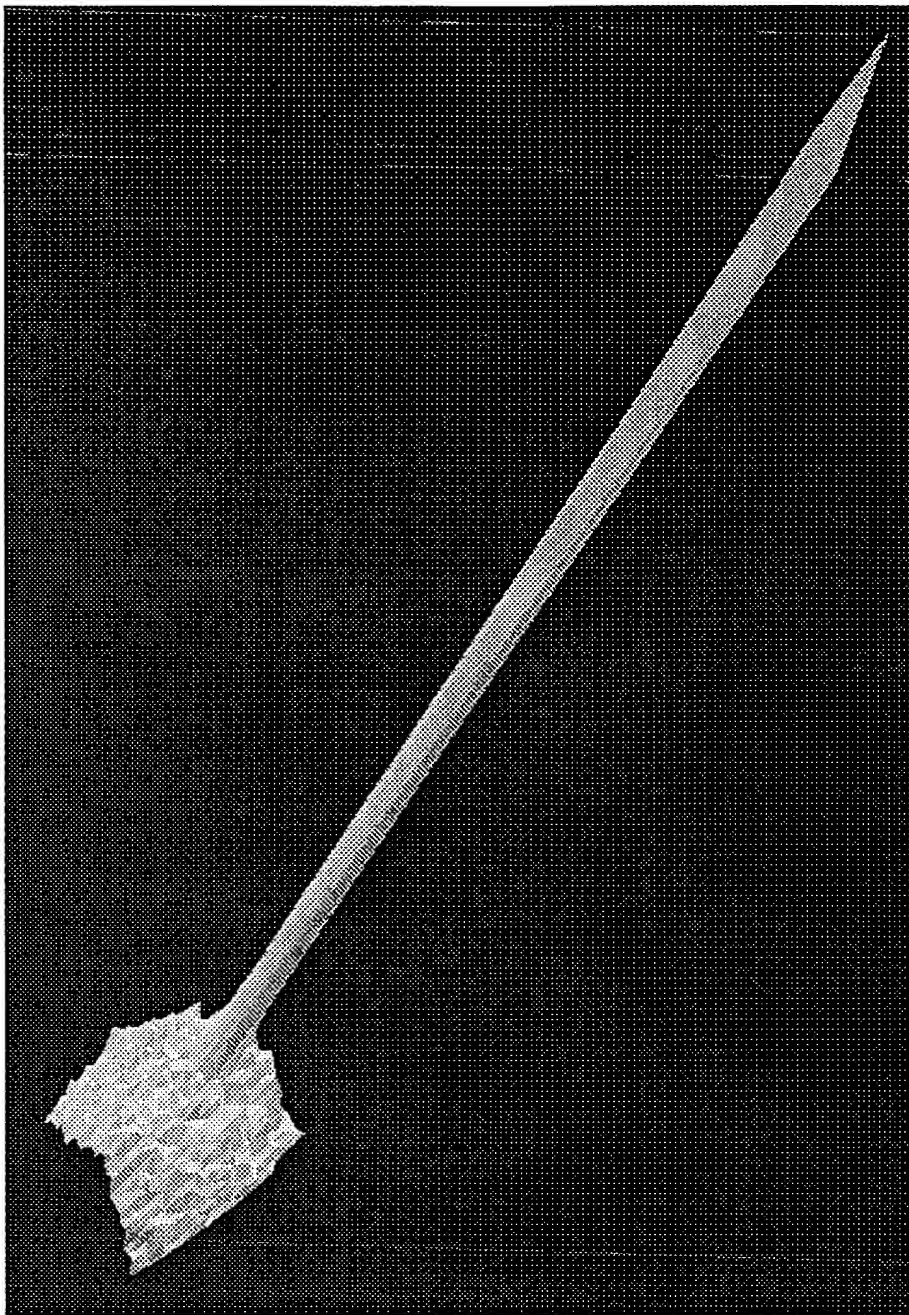


At this resolution, the mirror reflection is not well resolved, and we requested that a second set of measurements be conducted to capture out-of-plane data at a higher resolution. For these measurements,

the laser-sourced GOSI device was used, which takes measurements at higher angular resolution. A plot showing in-plane slices of the data for different incident angles and polarizations is given below.



Although this data has high enough angular resolution to adequately resolve the specular peak, it covers just 1/20th of the reflected hemisphere. (The graph shows only a slice of the data.) As we can see below in a 3D plot of one incident angle, only the region about the mirror direction is recorded.



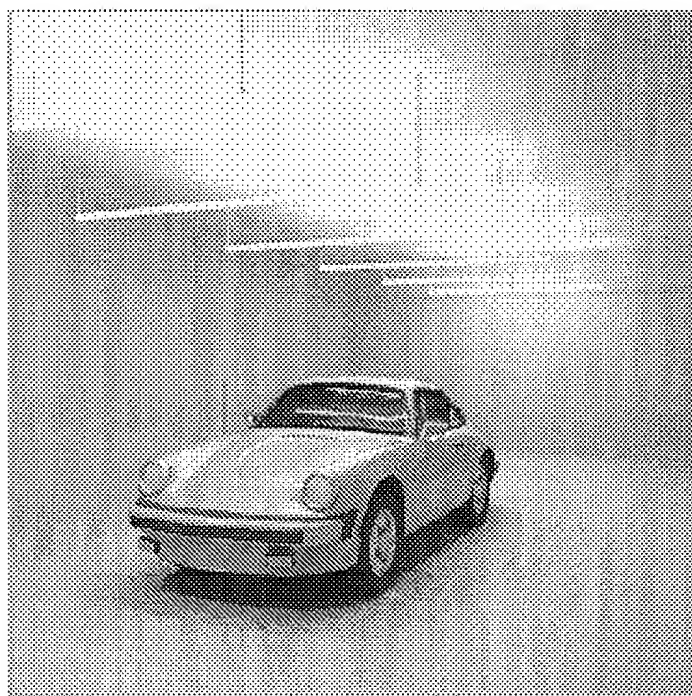
This leaves us with the task of filling in the missing BRDF data. To do this, we assumed that the specular peak itself is close enough to a Dirac delta function to model it as a perfect mirror, thus removing it from the BRDF. We then fit a Lambertian and a directional-diffuse component to the remaining data points from both measurement sets using a Chi-squared fitting method. Together with the color taken from the full-spectral measurements, we derived the following *Radiance* material description:

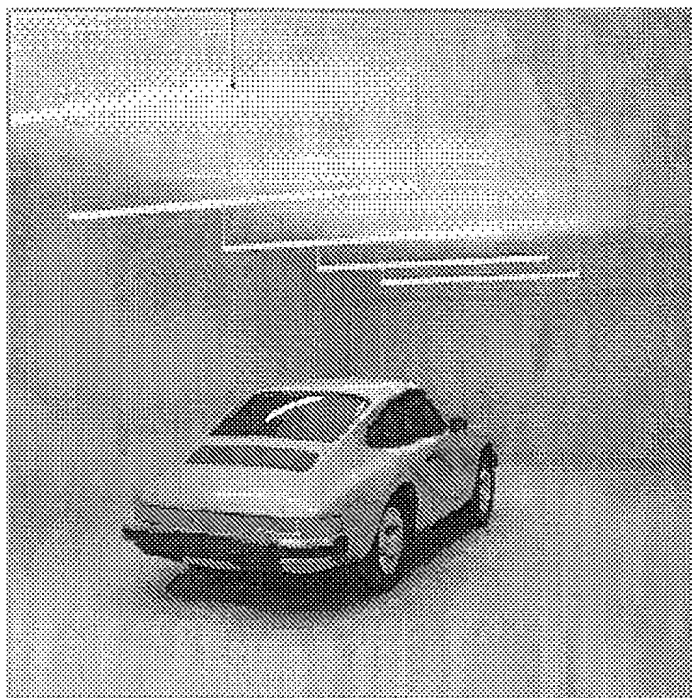
```
# Metallic grey paint with clearcoat  
  
void metal_grey_metal_directional_diffuse  
0  
0  
5 0.4147 0.4214 0.4391 0.2728 0.1401
```

```
void metal grey_metal_mirror  
0  
0  
5 1 1 1 1 0
```

```
void mixfunc grey_metal  
4 grey_metal_mirror grey_metal_directional_diffuse 0.04+0.96*exp(-6*Rdot) .  
0  
0
```

This corresponds to a material with a 4% Fresnel mirror component (attributed to the clearcoat) and a 27% directional-diffuse component from the metallic particles suspended in the paint itself. In addition, there is a 32% Lambertian component due to multiple scattering in the substrate. The final appearance of this material model may be observed in the following renderings of a Porsche 911 model on a simulated showroom floor.





We would like to compare these results to an actual automobile painted with the measured material, and this is work in progress.

## Links and Acknowledgments

This work is part of the NIST project, Advanced Methods and Models for Coating Appearance. For more information on NIST measurement devices and services, see [physics.nist.gov](http://physics.nist.gov). BRDF modeling and rendering was conducted by the Advanced Graphics Research Group at Silicon Graphics, Inc. using the *Radiance* toolkit from Lawrence Berkeley National Laboratory.

### Page Author

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# Conference Report

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## **WORKSHOP ON ADVANCED METHODS AND MODELS FOR APPEARANCE OF COATINGS AND COATED OBJECTS**

**Gaithersburg, MD  
May 20, 1996**

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*Report prepared by*

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### **1. Introduction**

The appearance (color, gloss, texture) of an object greatly influences a customer's appreciation of the quality of the object. Further, as manufacturers demonstrate their ability to provide objects having improved appearance properties, as well as exciting new ones, customer expectations for appearance quality increase. To enhance the development and implementation of new products and processes, it is essential that industries have the physical tools necessary to accurately quantify the appearance of their products, and the modeling capabilities to predict the appearance of objects based on their formulation and manufacturing processes.

Current appearance metrology is almost exclusively based on specular and colorimetric measurements. This has led to a host of specialized metrics—e.g., at least 10 for “gloss” alone—that are useful in monitoring the day-to-day quality of a product, such as determining whether the paper produced today is as shiny as that produced yesterday. However, these metrics are inadequate for many current requirements including 1) describing metallic and pearlescent coatings which change appearance with angle of viewing and angle of illumination, 2) characterizing texture, 3) predicting the appearance of a finished product from the coating constituents, and 4) predicting the appearance of a coating as the product ages.

Needs for new or improved characterization procedures, metrics, standards, and tools have been recognized by the industry for some time. This is illustrated by recommendations in a recent report by the Council on Optical Radiation Measurements (CORM) [1]. The recommendations include establishing a national appearance measurement laboratory program at NIST that would address a variety of appearance attributes, including gloss, distinctness of image, orange peel, texture, sheen, translucency, and contrast. The Council further recommends that this effort be supported by

developing a system of standard reference materials for these and other appearance attributes.

To help NIST researchers better understand industry's needs, four NIST laboratories held a Workshop on Advanced Methods and Models for Appearance of Coatings and Coated Objects on May 20, 1996. The four NIST laboratories are Building and Fire Research Laboratory (BFRL), Information Technology Laboratory (ITL), Manufacturing Engineering Laboratory (MEL) and Physics Laboratory (PL). Using coatings and coated products as a model system, industry representatives presented specific coating appearance measurement issues, NIST researchers described their proposal for a systems approach to advance the science of appearance measurements, and, in an open forum discussion, workshop attendees provided feedback on the ideas presented and additional recommendations.

## 2. Workshop Objectives

The Workshop was organized with three objectives:

(1) To identify industry's needs for new appearance measurement methods,

(2) To propose a program to meet industry's needs and define what NIST could and should do to help meet the needs, and

(3) To decide whether an industrial panel should be established to collaborate with NIST researchers in prioritizing research and advancing appearance methodologies and, if so, to initiate efforts to establish the panel.

The workshop was organized into three sessions: presentations by industry representatives, presentations by NIST researchers, and a panel discussion. The sessions were designed to identify common industrial problems in the area of coating appearance, to present a NIST proposal to advance methods and models for characterizing appearance, and to foster open communication among interested industrial, academic, and governmental groups.

## 3. Summaries of Industrial Presentations

### 3.1 Factors Contributing to Coating Appearance

J. Braun, Pigments and Coatings Technologies

The appearance of man-made products has changed slowly over time as new pigments have become available. The evolution of the colors of the settings of most human activities is passing with hardly a notice. For millennia, man has been surrounded by shades of gray and earth, the colors of dirt, dust, decay, ashes and soot.

Although the wealthy gained access to some more lively colors provided by precious stones, white marble, noble metals and some dyes, it was not until modern times that gray was replaced by materials made with photolytically stable white and colored pigments, made possible by advances in pigment technology. For example, most automobiles were black or dark colored until the 1930s because light colors were not durable enough to use on objects exposed to sunlight.

Appearance properties (described by the terms shown in Table 1) of most manufactured objects depend on pigments. The requirements of pigments include optical characteristics, safety, durability, and affordability. Extreme optical characteristics are required. For example, of the billions of chemical compounds, only a few have a refractive index high enough to serve as a white pigment. Colored pigments must have an extreme, wavelength-specific light absorption. A black pigment must have total light absorption. Safety during manufacture, application, and use is an additional concern. Pigments may be required to withstand the effects of UV radiation, water, oxygen, elevated temperatures, and environmental assaults by acids and alkalis. Cost is also an issue. Pigment prices vary from \$ 0.05 per kilogram for mined mineral pigments, to \$.50 per kilogram for white and black pigments, and to \$5 - \$50 per kilogram for some color and special effect pigments.

**Table 1.** Terms describing appearance (J. Braun)

glamorous	shimmer	lack-luster	leaden
sheen	shine	dull	gray
polish	luster	drab	dark
bright	shimmer	lack-luster	bleak
light	bright	dingy	leaden
vivid	radiant	somber	hazy
glamorous	gleam	sooty	muddy
glitter	dazzle	cloudy	dusky
gloss	colordul		
sparkle	brilliant		

The human environment is continually becoming more colorful. The language of appearance recognizes the impact of appearance on our emotions. New terms are being used to describe products made with new pigments, such as dazzle, vivid, glitter, and sparkle. Pigment technology is meeting the demands for changes in the appearance of products and continues to serve a subtle dimension of our well-being.

### 3.2 Appearance Measurements at DuPont: Past, Present, and Future

P. Tannenbaum, R. Stafford, A. Prakash, and  
P. Jansson, E. I. DuPont de Nemours & Co.

Appearance is the total quality of what we perceive. Color, texture, luster, gloss, haze, sparkle, and roughness are examples of appearance attributes. The quality of many of the products DuPont markets is judged by combinations of these attributes. The rules for combining these attributes to create specific appearance properties, however, are not known. Many established DuPont product lines are sold specifically for their appearance value. Among these are automotive finishes, textile and carpet fibers, Corian®, and colored Tedlar®. Other DuPont materials, such as composites, engineering polymers, white pigments, and printing supplies are used as components in customer products. These materials convey properties that indirectly affect end-use appearance. Taken together, the value of appearance represents substantial revenues and earnings. Small generic improvements in appearance understanding, measurement, and control can provide significant competitive advantage. DuPont has demonstrated this principle repeatedly in the appearance subfield of color and is currently expanding upon its color-based activities by measuring process-dependent appearance attributes, improving the appearance assessment of current products, and developing new products having more desirable appearance.

The quantification of appearance involves a high degree of connectivity among the many subfields of science and technology and different businesses. The degree of complexity and the specific scientific

disciplines that are required for business appearance solutions are illustrated in Figure 1. A partial listing of candidate appearance attributes is at the core of the diagram. Associated businesses are included as the outer shell.

Historically DuPont's first encounter with appearance came when Henry Ford asked the Company to develop a fast drying black lacquer. This ultimately led to colored automotive products and later, colored fibers which required quality control support. Hence, DuPont first developed the Colormaster colorimeter and, as technologies improved, the DuColor colorimeter to meet specific business needs. During the same period, C. D. Reilly created the cube root color coordinate system which later became the CIE Lab system for quantitatively specifying color. With the introduction of metallic flakes into automotive finishes, a new "arch" goniophotometer, with 32 calibrated detectors which covered a hemisphere, was developed and used to study the reflectance of metallic finishes, as well as pearlescent finishes, and to improve colorant formulation and shading. When reliable computer controlled electronic displays and projection systems became available, simulation and color difference assessment tools were also developed. In particular, one such device would project a car on a large screen from which color styling could be done. When a color was chosen, paint could be immediately obtained via a link to a formulation computer with computer controlled pumps for the colorants, mixing machines, etc.

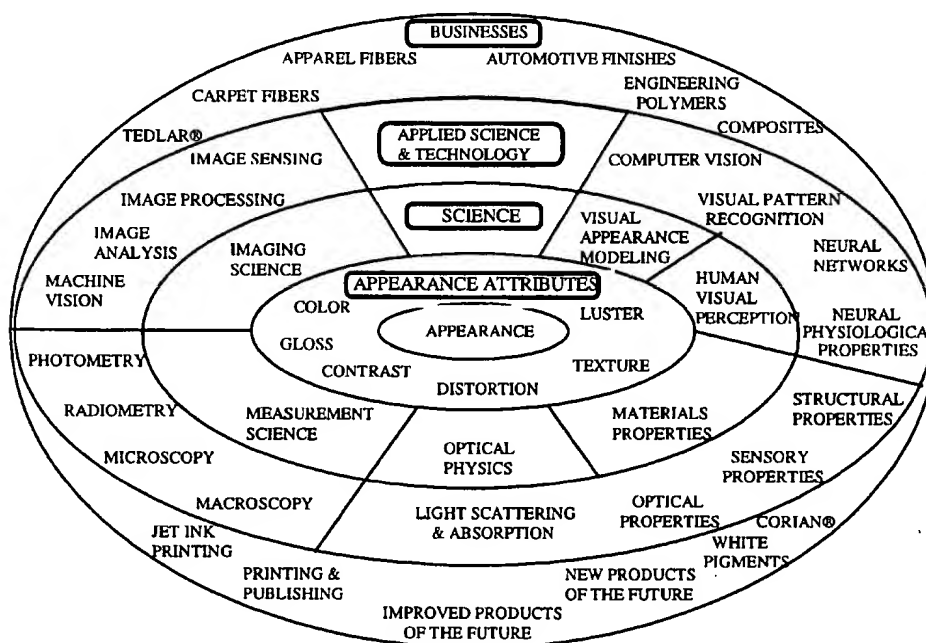


Fig. 1. Connectivity of areas and groups involved in appearance measurements (P. Tannenbaum et al.)



Currently, DuPont has shifted its focus somewhat to include some of the *spatial* aspects of appearance. For example, the effect of textile and carpet fiber shape on light scattering and reflectance and how these properties impact the ultimate luster, glitter and dye yield of the final product has been modeled. A large and successful effort has also been conducted to the study of standard appearance measures such as distinctness of image (DOI), orange peel, gloss, haze and contrast, in terms of imaging rather than flux techniques, which utilize standard optical transfer function (OTF) methods in conjunction with Fourier analysis and, hence, a spatial frequency framework. The advantage of the latter is a *unified* approach with one instrument for the determination of all the above attributes and a direct link to spatial vision via the published spatial frequency response of the human observer. In this framework, the OTF curves serve a function analogous to reflectance curves in color and the visual OTF is similar to the  $y$ -bar- $\lambda$  weighting function so that the specifying equations look a lot like those used in colorimetry except that wavelength is replaced by spatial frequency. Other spatial approaches utilize image processing techniques in quantifying textures, patterns, smoothness, scratches and mar, and surface wear. Here, specialized lighting and carefully configured optical systems play a role equal to the software analysis in isolating the features of importance. Specialized lighting is often overlooked in off-the-shelf systems. Most recently, DuPont has begun to simulate color spatial patterns on calibrated color monitors and then print these images on paper with the color match based on some predetermined transformation. Success depends on the accuracy of the color models for the monitor and printer as well as the measurement and calibration techniques. For the relatively simple case of heathered carpet yarn, we can now get a printed output which looks identical to the finished carpet.

For the future, DuPont sees a continuation of the present approaches enhanced by new technologies, as it was for the past. In modeling, we hope to pursue textural appearance uniformity, perceptual neuroscience and color spatial vision. In instrumentation, portable image processing systems will bring the measurements to the places where they are needed and large area, high resolution color image capture devices will insure proper statistics for analysis of complex images. New image processing research will include color image segmentation and aggregation analysis, as well as product-process appearance relationships. Finally, it is compelling to pursue the newest computer based virtual reality in 3D-color to simulate all of DuPont's products, i.e., fill a virtual house with virtual paint, virtual carpet and upholstery, etc.

### 3.3 Appearance Rendering

H. Rushmeier, IBM, T. J. Watson Laboratory

Over the past 15 years, there has been a great interest in computer graphics in generating realistic synthetic images. In particular, a lot of work has been done in developing algorithms for physically accurate images—that is, images computed from numerical descriptions of a scene that accurately show what the scene would look like if it were built. This has been a computationally challenging problem, requiring the solution of the Fredholm integral equation of the second kind that governs the transport of visible light. Efficient solution methods have been developed. Given the BRDF (bidirectional reflectance distribution function) for each of the objects in a scene, a physically accurate image can be generated in a reasonable amount of time. This allows, for example, the simulation of the appearance of cars under different lighting conditions with different paints entirely or the aging patina on a copper statue on a computer for the purpose of evaluating various design proposals. Such simulations would currently use limited experimental BRDF data. Now the time has come to move on to developing improved methods for obtaining BRDF data and models to predict BRDF given the initial components of a coating and the weather conditions expected. This would allow computer graphics techniques to be used through the entire design process of a coated object—from the original coating formulation to the final appearance of an object under service conditions.

## 4. NIST Proposed Research

### 4.1 Overview

Jonathan W. Martin, Building and Fire Research Laboratory, NIST

A NIST proposal for a systems approach to advance the science of appearance measurements for organic coatings was presented. Organic coatings are proposed as the model system, but the methods developed here will have direct application to other coatings and surfaces. The goals of the proposed research are to:

- Develop advanced textural, spectral, and reflectance metrologies for quantifying light scattering from a coating and its constituents and use the resulting measurements to generate maps and validate physical models describing optical scattering from a coating and the relationship that the scattering maps have to the appearance of a coated object.

- Develop models for predicting changes in appearance of a coating as it ages from knowledge of its physical and chemical properties, constituents and initial appearance.
- Integrate measurements and models in making a virtual representation of the appearance of a coating system and changes in appearance with aging. This representation can be used as a design tool capable of accurately predicting the appearance properties of aged and unaged coated objects from the coating formulation.

Planned experimental and simulation efforts in four parallel research areas, as illustrated in Fig. 2, were described. These areas are coating formulation and film formation, microstructure of new and aged films, reflectance and appearance measurements and predictions, and the generation of virtual formulation and rendering strategies. Within each research area, experimental results will be compared with model predictions and

differences in the results investigated. Information gained in one area will flow as input into other areas and will be used to design improved experiments in a feed-back looping process.

Linkages among the research areas were further described. The microstructure (pigment size distribution, pigment dispersion, surface morphology, etc.) of new and aged coatings will be experimentally characterized and used as input into mathematical models developed to predict the bidirectional reflectance distribution function (BRDF) for the coating and microstructure parameters. The BRDF of the coating will also be experimentally measured and compared with the predicted BRDF derived from the scattering and simulation models. Experimental microstructure data will also be used as input in models to simulate aging-caused changes in the appearance of coating films. To complete the virtual loop, images of coated objects will be rendered using BRDF data so that the rendered appearance of the objects can be compared with responses

### Advanced Methods and Models for Coating Appearance

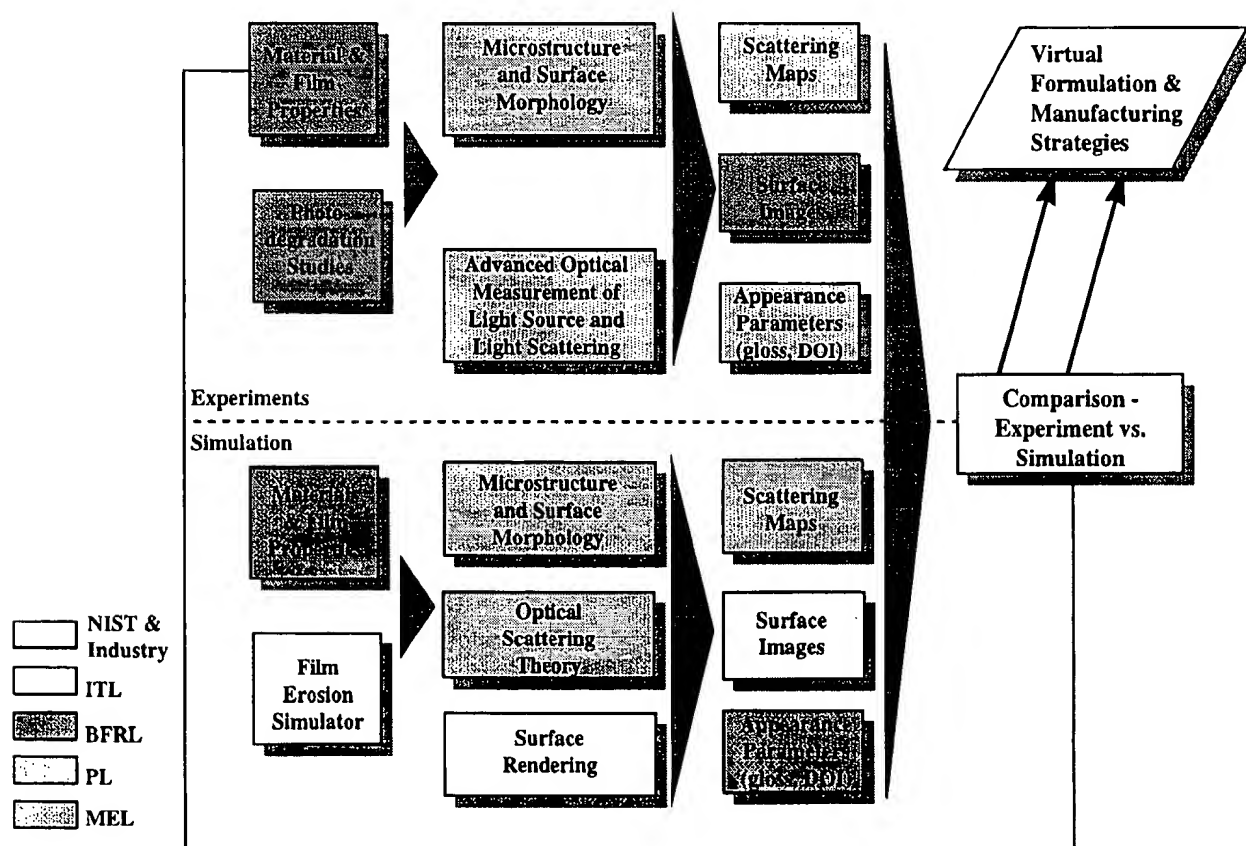


Fig. 2. Schematic diagram of NIST's proposed research.

based on standard appearance measurements. In this way it was noted, researchers and engineers should be able to use parameterized mathematical models and computer rendering, coupled with advanced measurements, to assess the contribution of coating constituents to appearance and help design coatings with desired initial appearance and durability properties.

#### 4.2 Simulation Modeling

Fern Y. Hunt, Information Technology Laboratory, NIST and Michael Galler, Building and Fire Research Laboratory, NIST

The role of the Information Technology Laboratory (ITL) in the proposed NIST effort, based on ITL's existing and developing expertise in the areas of modeling, computer simulation and computer graphics rendering, was presented. The goal of the ITL research is to contribute to the understanding of the microstructural basis for the appearance of coatings. Tools that will be developed to identify important parameters in the coating formulation process and their effect on appearance were described. These tools will allow designers and formulators to visualize the appearance of specified surfaces as part of a virtual formulation and manufacturing process as discussed by Dr. Rushmeier of the IBM T. J. Watson Laboratories. As an example of the kind of modeling and computer graphics visualization which will be developed, the results of a recent study of simulated gloss loss of coated surfaces exposed to ultraviolet light were presented. The computer simulation includes a display of the coating microstructure before and as the photo-degradation process proceeds. The film consists of pixels depicting binder that erodes as ultraviolet radiation having adjustable strength and direction is absorbed. Embedded in the binder are collections of pixels representing pigment particles and other photostable additives. Pigment particle size distribution, shape and degree of dispersion or flocculation are adjustable, and are the principal model parameters of interest to paint formulators. The distributions of pigment particles are parameterized by point processes commonly used in spatial probability theory. The role that these parameters play in gloss loss of a weathered surface at various stages of the weathering process was investigated. As a first approximation, we used the first surface scattering theory of P. Beckmann, which relates the gloss to the surface roughness, and used it to determine gloss loss due to surface roughening. This hypothesis has been experimentally validated. The variables characterizing surface roughness are functions of the geometrical parameters of the surface and can be obtained by standard methods. We studied the dependence of these variables on pigment size distribution and dispersion in

weathering simulations of coatings. Our results underscored the importance of small, well dispersed pigment particles in retaining gloss of pigmented films.

#### 4.3 Appearance Measurements

Ambler Thompson, Physics Laboratory, NIST

The metrological goals of the appearance project are to develop physical understanding and instrumentation for the complete characterization of color and appearance of coatings, resulting in advanced textural, spectral, and goniophotometric measurements for quantifying light interactions with coated surfaces. Procedures and instruments currently being used at NIST to measure reflectance properties of materials were described.

The reflectance of light from a coated surface is a complex physical problem, dependent on the angle of the incident light on the surface, the spectral distribution of the incident light, the physical properties of the sample, and the observing angle of the reflected light. The mathematical function which captures all of these parameters is the bidirectional reflectance distribution function (BRDF). Two BRDF instruments have been developed at NIST, both of which are capable of measuring the BRDF from coated surfaces. The measured BRDF can be compared with the model predictions leading to refinements in the model and augmentation in the design of future appearance instrumentation.

Reflectance is only one of the possible optical interactions of light with a coated surface; wavelength dependent absorbance results in a colored object. Fluorescence also occurs in some coatings (e.g., brighteners in paper and textiles and fluorescent coatings). Additional instruments will be built to completely characterize materials for all of these interactions. Our plans are for the instrumentation to have goniometric, spectral and imaging capabilities to accommodate material specific geometries. These instruments will be of sufficient sensitivity and accuracy to develop measurement transfer standards based on NIST's scales for the appearance industry.

#### 4.4 Surface-Morphology Measurements/ Bidirectional Reflectance Distribution Function (BRDF) Modeling

Theodore Vorburger, Egon Marx, and Chris Evans, Manufacturing Engineering Laboratory, NIST and W. Eric. Byrd, Building and Fire Research Laboratory, NIST

Techniques for measuring surface texture and sub-surface morphology of coatings and proposed numeri-

cal methods for deriving the bidirectional reflectance distribution function (BRDF) from the surface measurements were described.

Several types of area profiling techniques can be used to measure a coating's surface texture. One of them, white light interferometric microscopy, will likely provide useful measurements of the subsurface structure, including the positions, sizes, and topography of subsurface pigment particles, including metallic and pearlescent particles. Another technique, confocal scanning optical microscopy, can provide measurements of the topography of the top surface of the coating as well as the positions, sizes, and topography of subsurface pigment particles down to about 250 nm in size. The two techniques were described and examples of the measured coating morphology for both techniques were shown.

The proposed numerical approach to derive BRDF from the measured coating morphology is based on rigorous electromagnetic theory. A statistical model for the light scattered from the coating will be built from a series of elementary calculations of the light scattered from a single particle in a dielectric binder using integral equations equivalent to Maxwell's equations. The statistical model will take into account the roughness of the dielectric surface and the distribution of the particles in size and position. We have already developed computer codes to calculate rigorously the scattered field from a rough perfect conductor, a rough dielectric interface, and two neighboring dielectric spheres. Additional codes will be developed for a sphere in a rough dielectric layer and a sphere in a rough interface. Then the interaction of the light with the surface and the distribution of particles in the coating will be developed by summing the scattered light intensities from each interaction. The validity of this approximation will be evaluated by performing a rigorous calculation for light scattered from a small number of particles and comparing this result with that obtained by adding the intensities of the scattering from each of the particles.

Once an accurate model for scattering from coatings is developed, the results can be used as input BRDFs for surface image renderings and numerical estimates of appearance parameters from measurements of the surface topography. This method will avoid the necessity of measuring BRDF for each type of surface to be rendered.

## 5. Discussion: Brief Summary of Issues and Recommendations

The presentations were followed by an informal open discussion period. Many issues and topics were addressed. To summarize the discussions for this report, the issues were grouped into four categories and broad recommendations were prepared based on suggestions and recommendations voiced during the discussion.

### 5.1 Metrics and Models

#### *Issue 1*

The coatings, textile, paper, and other industries have common appearance-related problems. From the measurement and modeling standpoints, there are definite end-points to strive for, such as developing capabilities for adequately measuring gloss, texture, and color, and relating models and microstructure to bidirectional reflectance distribution functions (BRDF). In addition to the major research and development efforts needed in the coatings industry, there will likely be needs for different appearance measurements and modeling in other industries.

*Recommendation:* University, industry, and government working groups, in concert with supporting agencies (such as NIST) should address all aspects of appearance, including texture that will benefit the industries. This work should encompass image renderings of surfaces having complex reflectance properties, appropriate measurement instruments, models for predicting reflectance of surfaces from coating formulation, and models for aging of coatings.

#### *Issue 2*

With so many different color and appearance models and approaches available or being discussed, some form of standardization of the data must be developed. On a practical level, this means, "What data are needed to adequately describe reflectance properties and how should they be formatted so that the information is easily transferred from person to person?"

*Recommendation:* Develop standards for the description of color and appearance phenomena, taking into account existing processes and procedures, and industry-standard software tools.

### Issue 3

The utility of standards and physical metrics in industrial processes and for research is clear, but the problem must still be bounded. Not only must measurements and models be developed that can be used by the interested communities, but some means of evaluating those models based on human perception must be developed. Implicit in this need are questions about the usefulness of existing appearance metrics, and the relationships between physical models and human vision models.

*Recommendation:* To evaluate and judge the efficacy of color and appearance models, interested parties should work together to develop metrics. For example, objective image quality metrics may be available from research institutions that are already heavily engaged in related work. NASA's Ames Research Center is an example. Modulation transfer function (MTF)-based quality measures may be suitable first steps.

### 5.2 Technology

#### Issue 1

Measurements of appearance are often required in manufacturing plants and other locations remote from research or central laboratories. It is inconvenient to take physical samples from the location-of-choice for return to laboratories for measurements. The best solution would be to have measurement tools that can be used *in situ*.

*Recommendation:* To support in-situ measurements, portable devices should be developed to allow measurements in field or manufacturing environments.

#### Issue 2

As welcome as portable measuring devices would be, they may be difficult to design and build. Before any such instruments can be built, parameters for the design must arise from industrial needs. For example, pearlescent coatings might require that large surface areas be measured, and involve complex imaging systems, as well.

*Recommendation:* Industry working groups should be established to define the measurements that would be required to support their activities. Such definition would—of necessity—include the nature of the measurements, range of measured values, allowable uncertainties, and calibration, which itself requires the generation of standards.

### 5.3 Computation

#### Issue 1

Appearance rendering has significant potential for simulating the actual appearance of coated objects by generating images. Improvements in computer processor speeds and memory capabilities, rendering algorithms, and lower computer costs have made it possible to render images of scenes on the desktop. One obstacle to more accurate renderings is the lack of adequate reflectance data for objects coated with pearlescent and metallic coatings.

*Recommendation:* Appearance research should address representations for complex reflectances, appropriate measurement instruments, models for reflectance based on compound formulation, and models for aging of coatings. If such models and measurement techniques were available, it would allow examination of many different possible designs by combining coating formulation, product geometries, lighting conditions, and environmental effects entirely in simulation.

### 5.4 Measurements

#### Issue 1

With computer-based capabilities to model reflectance distributions and simulate changes in surfaces due to weathering and aging, the question arises as to how best to relate models to reflectance measurements and microstructural characteristics. Some necessary parameters relative to appearance, e.g., surface roughness, can perhaps be derived from BRDF measurements. Additional procedures for characterizing other microstructural changes of coatings may also be needed to account for changes in the BRDF.

*Recommendation:* Since traditional measurements take into account only the specular and near specular components of scattered light, development of new techniques, accessing diffuse components, should be addressed. In general, more and better measurements are needed, especially spectral goniophotometric measurements, in which incident and scattered light reflectance is varied over a wider range of directions.

#### Issue 2

Traditionally, measurements of BRDF use narrow light beams to illuminate the surface so spatial information is highly resolved. How to make effective use of BRDF as a spatially variable property is, as yet, an

unresolved question. From a practical standpoint, such measurements are very alignment sensitive. It may be possible to use BRDF to determine specific appearance parameters. However, BRDF may be too labor-intensive and there may be alternative approaches. For example, the semiconductor industry routinely uses integrated scattering to scan for defects. This approach can address many samples per hour, and be automated to work 24h a day.

*Recommendation:* While there may be alternative techniques, one must start somewhere, and BRDF measurements are a good place to start. However, the details of carrying out BRDF measurements and using BRDF data need to be developed. Detailed descriptions of the utility of BRDF vis-a-vis what industry wants, e.g., quality assurance metrics and standards, should be developed and provided.

## 6. Participant Input

To provide participants with an opportunity to express specific ideas and thoughts and to provide NIST researchers with additional information, an input form was distributed. A complete analysis of the attendees' responses can be found in Ref. [2]. The responses to two of input form questions are summarized below.

**Question:** What is the most critical current problem in coating and appearance technology and what do you foresee to be the most critical problems of the future?

**Responses:**

- Developing standard measurements procedures or systems that meet needs of both suppliers and users
- For coatings industry, accurate instrumental characterization of metallic and pearlescent pigmented coatings
- Improved methods of predicting appearance of metallic and pearlescent coatings from formulation parameters and related improved procedures for adjusting appearance
- Long-term aging studies and relationships with material characterization
- Characterization of CRT/Flat panel displays and other radiometric-type measurements
- Lack of standard reference materials
- Finding and demonstrating physical measurements of paint quality that correlate with customer opinions and expectations
- Standard reference materials and procedures for BRDF

- Accurate color and gloss measurements on textured surfaces
- Process modeling (molding, painting, etc.) to relate the effects of process parameters to appearance properties (texture color, gloss, etc.)
- Effective differentiation of graphics and background for contrast ratio, color
- Characterizing daytime reflected and nighttime emitted color and appearance of automotive interiors, e.g., the instrument panel
- Definition of appearance parameters and how to measure them and finally how to combine them into an accepted model
- BRDF modeling only seems as good as your 3D model of a surface. 3D modeling is a major problem.
- Availability of measurement equipment
- Determining what data are important to human visual observation and filtering out the data that are not needed
- Gonio-spectro-spatio meter
- Appearance measurements that correlate with human perception; also need measurements that can be used for diagnostic purposes in problem solving, including the ability to look at the basecoat/clearcoat interface
- Use of multi-geometric color measurement (applicable systems, not full BRDF)
- Interpretation of BRDF data

**Question:** What would you like NIST to do in the areas of appearance measurements, modeling and rendering?

**Responses:**

- Help bring BRDF measurements into usable data for industry
- Move forward with multi-disciplinary project
- Provide standards and work with industry to develop appropriate standards
- Standardize BRDF techniques and unify measurements
- Carry out appearance modeling
- Demonstrate the utility of BRDF and computer rendering of surfaces
- Develop procedures for characterizing periodic textures

- Encourage research in the area of color and gloss measurement to come up with new instruments or methods for more accurate measurements on textured surfaces
- Establish metrics for appearance measurements  
Model human vision
- Supply standard reference materials for appearance
- Develop basic fundamental models for predicting appearance
- Make BRDF relevant to basecoat/clearcoat automotive systems - it needs to be combined/resolved with spatially variable information
- Develop a complete measurement and modeling system that can be adapted to all industries; a thorough definition would enable industry to develop instrumentation that would be widely accepted
- Conduct research primarily measurements of appearance
- Develop models to support increased understanding of relationships between microstructure and appearance

## 7. Summary

NIST held a workshop on appearance to learn industry's needs for improved methods and models for characterizing appearance and to gain comment on a NIST proposed research plan for a systems approach to advance the science of appearance measurements. Representatives from several universities, government laboratories and industries attended. Industries represented included coatings, automotive, electronic, paper, and aerospace. Attendees participated in an open discussion of the ideas presented.

From the discussion and survey input, it was generally agreed that improvements in appearance measurements and models are required. Some specific needs that were identified included: 1) standard quantitative measurement procedures for characterizing reflectance of materials for which reflectance depends on the direction of viewing, 2) procedures for quantitatively characterizing the textures of coatings, paper, textile and other materials, 3) improved understanding of the relationships between reflectance properties and human perception of appearance, and 4) models to predict appearance and durability of coatings from knowledge of the coating constituents. The lack of adequate reflectance data of coated objects, textiles, papers and other materials for rendering models was also noted.

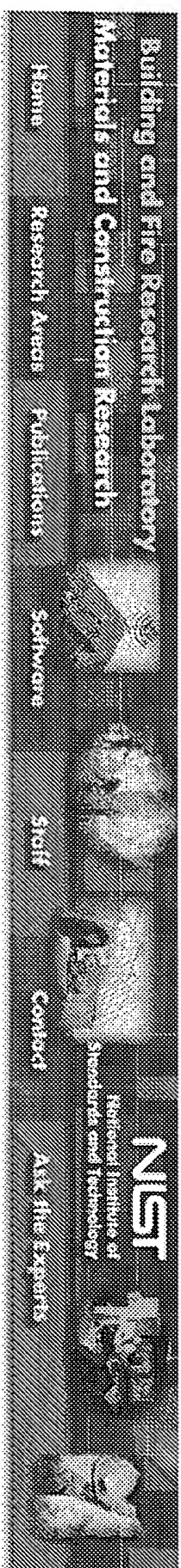
Based on the mission of NIST (which is to promote U.S. economic growth by working with industry to develop and apply measurements and standards) and its historical strength in fundamental studies and appearance measurements, the workshop participants generally agreed that NIST can play an important role in meeting the needs for fundamental measurements and providing coherence and direction among the diverse interests. The workshop adjourned with many people agreeing to consider becoming a member of a working panel to collaborate with NIST researchers.

## Acknowledgments

This workshop could not have been held without the professional contributions of the workshop steering committee, presenters, and participants, and the contributions of the cosponsors. Encouragement and financial support from NIST to hold the workshop were provided by Geoffrey Frohnsdorff, BFRL; Dennis Swyt, MEL; Paul Boggs, ITL; Al Parr, PL; and John Blair, Administration. We gratefully acknowledge Mitchell K. Hobish, Consultant, for preparing the first draft of this report and the support of the NIST Conference Facilities personnel for assisting in organizing the meeting and ensuring that it ran smoothly.

## 8. Reference

- [1] CORM Sixth Report, Pressing Problems and Projected National Needs in Optical Radiation Measurements, Council for Optical Radiation Measurements, December 1995.
- [2] M. E. McKnight, J. W. Martin, M. Galler, F. Y. Hunt, R. R. Lipman, T. V. Vorbuerger, and A. Thompson, Report of Workshop on Advanced Methods and Models For Appearance of Coatings and Coated Objects, NISTIR 5952 (1997).



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## Measurement Science for Optical Reflectance and Scattering

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### Workshop on Metrology and Modeling of Color and Appearance -- Workshop Presentations

Workshop Home      Program Schedule

Author	Organization	Presentation Title
Kristin Dana	Rutgers University	<i>Models and Measurements of 3D Texture</i>
Thomas Germer	NIST	<i>Modeling and Measurements for Light Scattering from Smooth Surfaces</i>
Robert Gettings	NIST	<i>An Overview of NIST Measurement Services</i>
Leonard Hanssen	NIST	<i>NIST-Industry Consortium on Optical Properties of Materials</i>
Richard Harold	Color and Appearance Consulting LLC	<i>Color and Appearance Attributes</i>

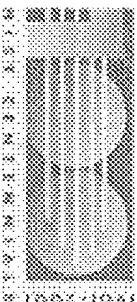


<b>Fern Hunt</b>	NIST	<i>The Role of Modeling and Rendering in the NIST Optical Reflectance Project</i>
<b>Frank J. Iannarilli, Jr.</b>	Aerodyne Research, Inc.	<i>Appearance Engineering: Getting from Virtual Models to Physical Designs</i>
<b>Jim Jafolla</b>	Surface Optics Corporation	<i>Phenomenological BRDF Modeling for Engineering Applications</i>
<b>Terry Lynch</b>	NIST	<i>Opportunities for NIST/Industry Partnerships</i>
<b>Charles G. Lee</b>	Collaborative Testing Services, Inc.	<i>Evaluating Color Instrument Performance Using Large Scale Studies</i>
<b>Egon Marx</b>	NIST	<i>Surface Characterization and Modeling for Coatings</i>
<b>Calvin S. McCamy</b>	Consultant	<i>Appearance Attributes of Metallic Paints and Plastics</i>
<b>Gary Meyer</b>	University of Oregon	<i>An Appearance Based Rendering System</i>
<b>Maria Nadal</b>	NIST	<i>Optical Measurements and Standard Materials for Color and Appearance</i>
<b>Marc Olano</b>	Silicon Graphics, Inc.	<i>Interactive Realism with Multi-Pass Rendering</i>
<b>James Roberts</b>	DURON	<i>Color Matching Problems in the Paint Industry</i>
<b>Barry Rubin</b>	DuPont Company	<i>Predicting Optical Properties of Synthetic Fibers</i>
<b>Holly Rushmeier</b>	IBM	<i>Appearance Issues for Electronic Commerce</i>
<b>Art Springsteen</b>	Avian Technologies	<i>An Introduction to Measurement of Color of Fluorescent Materials and Fluorescence Standards</i>
<b>Joe Stam</b>	Alias Wavefront	<i>Computer Rendering Of Anisotropic Surfaces</i>
<b>Li-Piin Sung</b>	NIST	<i>Characterizing Coating Microstructure</i>

<b>Paul Tannenbaum</b>	<b>DuPont Company</b>	<i>Development of New Appearance Measurement Procedures for Coated Materials - The E12.14 Approach</i>
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